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jc987 U.S. PTO

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PTO/SB/05 (08-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL		Attorney Docket No. P01426US2	
		First Named Inventor William T. Carpenter	
		Title	METHOD OF MODIFYING THE AXIS OF ROTATION OF THE EARTH
(Only for new nonprovisional applications under 37 CFR 1.53(b))		Express Mail Label No. EK102706893US	
<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.		<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)		7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification [Total Pages 9] (preferred arrangement set forth below) <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross Reference to Related Applications</li><li>- Statement Regarding Fed sponsored R &amp; D</li><li>- Reference to sequence listing, a table, or a computer program listing appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul>		a. <input type="checkbox"/> Computer Readable Form (CRF)	
4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets ]		b. Specification Sequence Listing on: <ul style="list-style-type: none"><li>i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii <input type="checkbox"/> paper</li></ul>	
5. Oath or Declaration [Total Pages ] <ul style="list-style-type: none"><li>a. <input type="checkbox"/> Newly executed (original or copy)</li><li>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/division with Box 18 completed)</li><li>i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</li></ul>		c. <input type="checkbox"/> Statements verifying identity of above copies	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76		<b>ACCOMPANYING APPLICATIONS PARTS</b>	
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76		9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))	
<input checked="" type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: 09/382,052		10. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input type="checkbox"/> Power of Attorney	
Prior application information: Examiner J. Kreck Group / Art Unit: 3673		11. <input type="checkbox"/> English Translation Document (if applicable)	
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.		12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
<b>18. CORRESPONDENCE ADDRESS</b>		13. <input checked="" type="checkbox"/> Preliminary Amendment	
<input type="checkbox"/> Customer Number or Bar Code Label		14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)	
		15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
		16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(I). Applicant must attach form PTO/SB/35 or its equivalent.	
		17. <input checked="" type="checkbox"/> Other: Request and Certification Under 35 USC 122(b)(2)(B)(I)	
Name FULBRIGHT & JAWORSKI L.L.P. Jan K. Simpson			
Address 1301 McKinney, Suite 5100			
City Houston State Texas Zip Code 77010-3095			
Country Telephone (713) 651-5151 Fax (713) 651-5246			
Name (Print/Type) Jan K. Simpson Registration No. (Attorney/Agent) 33,283			
Signature [Signature] Date 1-31-01			

**Transmittal-New Utility Patent Application**

I hereby certify that this document is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EK102706893US, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.

Dated: 1-31-00

Signature: [Signature] (Jan K. Simpson)

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# FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

## Complete if Known

Application Number	Not Yet Assigned
Filing Date	Not Yet Assigned
First Named Inventor	William T. Carpenter
Examiner Name	Not Yet Assigned
Group Art Unit	N/A
Attorney Docket No.	P01426US2

TOTAL AMOUNT OF PAYMENT (\$ 800.00

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

06-2375; Order No. 974227

Deposit  
Account  
Name

Fulbright & Jaworski L.L.P.

- ☒ Charge Any Additional  
Fee Required Under  
37 CFR 1.16 and 1.17

- ☒ Applicant claims small  
entity status See  
37 CFR 1.27

2. ☐ Payment Enclosed

☐ Check

☐ Credit Card

☐ Money Order

☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					355.00

### 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
10	-20** =		
Independent Claims	1	-3** =	
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim, if not paid
109	80	209	40	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	445.00
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					445.00

## SUBMITTED BY

Name (print/type) Jan K. Simpson

Registration No.

33,283

Telephone

(713) 651-5151

Signature

*Jan K. Simpson*

Date

1-31-01

## Fee Transmittal

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Dated: 1-31-01

Signature:

*Jan K. Simpson*

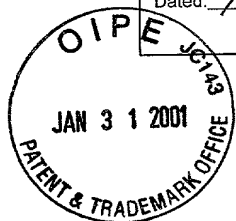
(Jan K. Simpson)

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Dated. 1-31-01

Signature

Jan K. Simpson



Docket No.: P01426US2

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
William T. Carpenter

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: Not Yet Assigned

Examiner: Not Yet Assigned

For: METHOD OF MODIFYING THE AXIS OF  
ROTATION OF THE EARTH

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, DC 20231

Dear Sir:

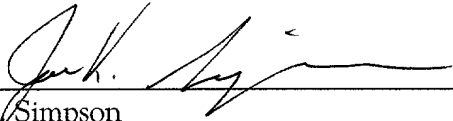
Enclosed are the following items for filing in connection with the above-referenced  
Patent Application:

1. Request for Extension of Time (for 09/382,052);
2. Express Abandonment under 37 CFR 1.138 (for 09/382,052);
3. Utility Patent Application Transmittal;
4. Fee Transmittal (in duplicate);
5. Specification (9 pages);
6. First Preliminary Amendment (2 pages);
7. Request and Certification Under 35 USC 122(b)(2)(b)(I); and
8. Return Postcard

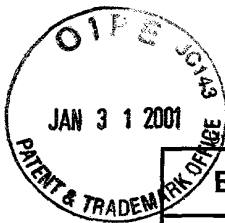
The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. 974227.

Respectfully submitted,

Dated: 1-31-01

By   
Jan K. Simpson

Registration No.: 33,283  
FULBRIGHT & JAWORSKI L.L.P.  
1301 McKinney, Suite 5100  
Houston, Texas 77010-3095  
(713) 651-5151  
Attorneys for Applicant



<b>EXPRESS ABANDONMENT UNDER 37 CFR 1.138</b>		Docket No. (Optional) P01426US1	
In re Application of William T. Carpenter			
Application Number 09/382,052		Filed	Aug. 24, 1999
For: METHOD OF MODIFYING THE AXIS OF ROTATION OF THE EARTH			
Group Art Unit 3673		Examiner	J. Kreck

To:  
Assistant Commissioner for Patents  
Washington, DC 20231

I request that the above-identified application be expressly abandoned as of the filing date of this paper unless a box below is checked.

1. ☐ I hereby petition to expressly abandon the above-identified application to avoid publication. (Petition fee under 37 CFR 1.17(h) included).

2. ☒ I request that the above-identified application be expressly abandoned as of the filing date accorded the continuing application filed herewith.

NOTE: A paper requesting express abandonment of an application is not effective unless and until an Appropriate Office official recognizes the paper. See MPEP 711.01

I am the

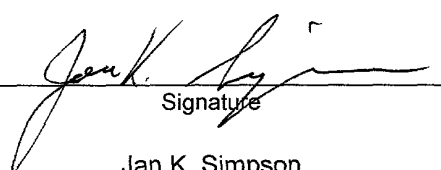
☐ applicant.

☐ assignee of record of the entire interest. See 37 CFR 3.71  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

☒ attorney or agent of record.

☐ attorney or agent acting under 37 CFR 1.34(a) (May act only if the box above, stating that the application is expressly abandoned in favor of a continuing application, is checked.) Attorney or agent registration number if acting under 37 CFR 1.34(a).

33,283  
(Attorney or agent registration number)

  
Signature

1-31-01  
Date

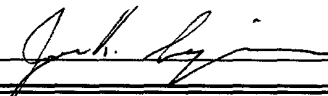
Jan K. Simpson  
Typed or printed name

Note: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required. See below\*

\* ☐ Total of \_\_\_\_\_ forms are submitted.

**Express Abandonment Under 37 CFR 1.138**

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Dated: 1-31-01 Signature:  (Jan K. Simpson)

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<b>REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	William T. Carpenter
	Title	METHOD OF MODIFYING THE AXIS OF ROTATION OF THE EARTH
	Attorney Docket No.	P01426US2

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

1-31-01  
Date

  
Signature

Jan K. Simpson  
Typed or printed name

Reg. No. 33,283

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Application may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently submits an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

#### Request and Certification

I hereby certify that this document is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EK102706893US, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, DC 20231, on the date shown below.

Dated: 1-31-01

Signature:  (Jan K. Simpson)